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PATENT

Attorney Docket No. SITECH.004A

Date: December 12, 2001

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ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: BOX PATENT APPLICATION

Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Shiho Wang, Yasar Halefoglu, Chih-hsing Cheng, Dengfeng Xu, David Kwong Nung Chan, Meng-ying Chen, and Chinh Do**

For: **SOL-GEL PROCESS UTILIZING REDUCED MIXING TEMPERATURES**

Enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) A power of attorney form and copy of assignment.
- (X) Initial signed declaration by inventors.
- (X) Return prepaid postcard.

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$370	\$370
Total Claims	50 - 20 =	30 ×	\$9	\$270
Independent Claims	5 - 3 =	2 ×	\$42	\$ 84
Surcharge				\$130
TOTAL FILING FEE		<u>\$854.00</u>		

- (X) A check in the amount of \$854.00 to cover the filing fee is enclosed.
- (X) This entity qualifies as a small entity under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- (X) Please use Customer No. **20,995** for the correspondence address.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



Bruce S. Itchkawitz
Registration No. 47,677
Attorney of Record

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,725	10/09/2001		SITECH.004A

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 7828

FORMALITIES LETTER



OC000000007054306

Date Mailed: 11/13/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$708.
 - \$540 for 30 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1578.

01/23/2002 TV0111 00000005 09974725

01 FC:205 65.00 OP

A copy of this notice **MUST** be returned with the reply

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 01/23/2002 TV0111
01/11/2002 ANAB11 00000042 09974725
04 FC:205

01/11/2002 ANAB11 00000042 09974725

01 FC:201 370.00 OP
02 FC:203 270.00 OP
03 FC:202 84.00 OP
04 FC:205 130.00 OP